

# GCM COMMODITY & DERIVATIVES LTD.

Sir R.N.M. House, 3B, Lal bazar (5th Floor, Block-2) Kolkata-700001, West Bengal  
Tel. : (+91) 33 22481053/9908 | Fax. (+91) 33 30285807

## Quarterly Compliance Report on Corporate Governance


Name of the Issuer : GCM COMMODITY & DERIVATIVES LIMITED

Quarter Ending on : 30<sup>th</sup> September 2013

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
I Board of Directors	52 1		
(A) Composition of Board	52(IA)	Yes	N. A.
(B) Non-executive Directors' compensation & disclosures	52 (IB)	Yes	To be Complied in Annual Report
(C) Other provisions as to Board and Committees	52 (IC)	Yes	To be Complied in Annual Report
(D) Code of Conduct	(52 (ID)	Yes	To be Complied in Annual Report
II. Audit Committee			
(A) Qualified & Independent Audit Committee	52 (IIA)	Yes	N. A.
(B) Meeting of Audit Committee	52 (IIB)	Yes	N. A.
(C) Powers of Audit Committee 52 (IIC)	52 (IIC)	Yes	To be Complied in Annual Report
(D) Role of Audit Committee	52 II(D)	Yes	To be Complied in Annual Report
(E) Review of Information by Audit Committee	52 (IIE)	Yes	N. A.
III. Subsidiary Companies	52 (III)	Yes	N. A.
IV. Disclosures	52 (IV)		
(A) Basis of Related Party Transactions	52 (IV A)	Yes	N. A.
(B) Disclosure of Accounting Treatment	52 (IV B)	Yes	To be Complied in Annual Report
(C) Board Disclosures	52 (IV C)	Yes	To be Complied in Annual Report
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	52 (IV D)	Yes	N. A.
(E) Remuneration of Directors	52 (IV E)	Yes	To be Complied in Annual Report
(F) Management	52 (IV F)	Yes	To be Complied in Annual Report
(G) Shareholders	52 (IV G)	Yes	Complied in Annual General Meeting
V. CEO/CFO Certification	52 (V)	Yes	To be Complied in Annual Report
VI. Report on Corporate Governance	52 (VI)	Yes	To be Complied in Annual Report
VII. Compliance	52 (VII)	Yes	To be Complied in Annual Report

For GCM COMMODITY & DERIVATIVES LIMITED

  
Suman Makhija  
Company Secretary



Place : Mumbai

Date : October 10, 2013

Corporate Office: 805, Raheja Centre, 214, Free Press Journal Marg, Nariman Point, Mumbai - 400 021  
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